



Section 15  
3

Practitioner's Docket No. 1540/139

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Prokai, Laszlo; Simpkins, James W.

Application No.: 09/893,324

Group No.: 1614 1616

Filed: June 27, 2001

Examiner: N/A

For: Alkyl Ether Modified Polycyclic Compounds Having a Terminal Phenol and Uses for Protection of Cells

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 17, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

**SMALL ENTITY STATUS**

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

[ X ] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: September 14, 2001

Signature

Harriet M. Strimpel

(type or print name of person certifying)

**III.** A statement that this filing is by a small entity is attached.

### **COMPLETION FEES**

**IV.**

**1. Filing Fee**

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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**2. Fees for Claims**

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$80.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$225.00
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**3. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
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Total Completion Fees	\$765.00
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### **EXTENSION OF TIME**

**V.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### **TOTAL FEE DUE**

**VI.** The total fee due is:

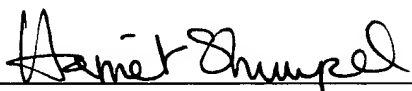
Completion fees	\$765.00
Extension fee (if any)	\$0.00
Total Fee Due	\$765.00

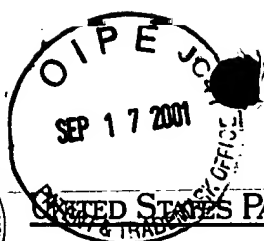
#### **PAYMENT OF FEES**

**VII.** Enclosed is a check in the amount of 765.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

Date: September 14, 2001

  
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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,324	06/27/2001	Laszlo Prokai	1540/139

02101  
BROMBERG & SUNSTEIN LLP  
125 SUMMER STREET  
BOSTON, MA 02110-1618

CONFIRMATION NO. 2061  
FORMALITIES LETTER



\*OC000000006438478\*

Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$610.
  - \$450 for 25 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1450.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/19/2001 MBERHE 00000100 09893324

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	225.00 OP
04 FC:202	80.00 OP